

# Heterotic mini-landscape in blow-up

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- 5 Outlook and conclusions



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4d  $\mathcal{N} = 1$  supersymmetry enforces  $D = 0$  and the scalar fields  $\phi$  to acquire a vev. Scalar fields from the twisted sector can be identified with blow-up (bu) moduli **smoothing** the local singularities.

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Therefore the study of the transition between heterotic string on **singular** orbifold compactifications and **smooth** CY manifolds is essential and has been intensively studied starting with [Honecker, Trapletti, 06][Groot Nibbelink, Trapletti, Walter, 07] [G.Nibbelink *et al*,08][Błaszczyk, Nibbelink, Ruehle, Trapletti, Vaudrevange,10].

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**In our work we focus on the smoothing of the  $T^6/\mathbb{Z}_{611}$  orbifold with MSSM-like spectrum. We identify the deformed orbifold with the smooth CY, in particular:**

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**In our work we focus on the smoothing of the  $T^6/\mathbb{Z}_{6II}$  orbifold with MSSM-like spectrum. We identify the deformed orbifold with the smooth CY, in particular:**

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A previous work on the  $T^6/\mathbb{Z}_{6II}$  has been performed in

[Groot Nibbelink, Held, Ruehle, Trapletti, Vaudrevange, 09] and in [Büchmuller, Louis, Schmidt, Valandro, 12] without Wilson lines. Here we study the correspondence further by completely matching of the spectrum and the anomalies.

# Orbifolds: *A Mini-landscape*

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# Heterotic orbifold compactifications

The heterotic string theory can be compactified by modding out the heterotic space-time by a discrete group  $H \subset (\mathbb{R}(9, 1) \rtimes SO(9, 1)) \times (E_8 \times E_8 \text{ lat. isom.})$ .

$H$  has the **geometrical generators**  $(\theta, l)$ , where  $\theta$  denotes an isometric rotation of  $T^6$  and  $l$  denotes translations in  $T^6$ . The transformation acts on the target space fields:

$$\begin{aligned} X^k &\rightarrow \theta^{kn} X^n + l^k, \quad \tilde{\psi}^k \rightarrow \theta^{kn} \tilde{\psi}^n, \quad k = 5, \dots, 10, \\ X_L^I &\rightarrow X_L^I + V^I + A^I, \quad I = 1, \dots, 16, \end{aligned}$$

$V, A$  represents the embedding in the gauge d.o.f. of the rotations and translations respectively. In a lattice basis  $\{e_\alpha\}$  we have  $l = n_\alpha e_\alpha$  and  $A = n_\alpha A_\alpha$ .

The gauge embedding is restricted by modular invariance and by consistent physical states transformation.

**$\mathbb{Z}_N$  orbifolds:**  $\theta = \exp(2\pi i(v_1 J_{45} + v_2 J_{67} + v_3 J_{89}))$ , where  $J_{2i+2, 2i+3}$  are the rotation generators in the three complex planes  $i = 1, 2, 3$ , with  $\sum_i v_i = 0$ .

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Untwisted states  $k = 0$ :  $|q\rangle_R \times \tilde{\alpha}|p\rangle_L$ , with  $q \in SO(8)$ ,  $p \in \Gamma_8 \times \Gamma_8$ .

Twisted states  $k \neq 0$ :  $|q_{sh}\rangle_R \times \tilde{\alpha}|p_{sh}\rangle_L$ , with  $q_{sh} = q + kv$ ,  $p_{sh} = p + V_g$ ,  $V_g = kV + n_\alpha A_\alpha$ ,  $q \in SO(8)$ ,  $p \in \Gamma_8 \times \Gamma_8$ .

The massless modes fulfill the level matching condition

$$\frac{p_{sh}^2}{2} + N - 1 + \delta c = \frac{q_{sh}^2}{2} - \frac{1}{2} + \delta c = 0.$$

with  $\delta c$  the vacuum energy.

$g$ -twisted physical states have to fulfill the **orbifold projection** i.e. they have to be invariants under  $h$  with  $[g, h] = 0$ .

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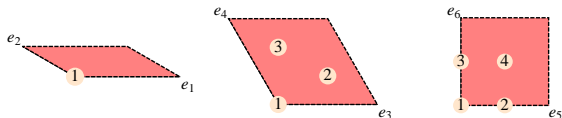
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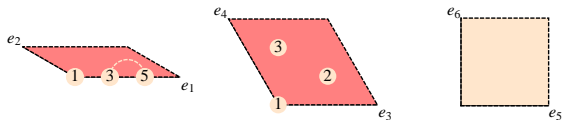
# $T^6/\mathbb{Z}_{6II}$ geometry

The orbifold action  $\theta$  is generated by the shift  $\mathbf{v} = (1/6, 1/3, -1/2)$ . The labels in the planes 1, 2 and 3 denote  $\alpha, \beta$  and  $\gamma$ , respectively. There are:

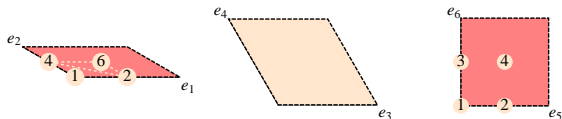
There are **12 fixed points** of the  $\theta$  sector which are  $\mathbb{C}^3/\mathbb{Z}_{6II}$  **local** singularities.



There are **6 fixed tori** of the  $\theta^2$  and  $\theta^4$  sectors which are **non-local**  $\mathbb{C}^2/\mathbb{Z}_3$  singularities.



There are **8 fixed tori** of the  $\theta^3$  sector which are **non-local**  $\mathbb{C}^2/\mathbb{Z}_2$  singularities.





# $T^6/\mathbb{Z}_{6II}$ gauge embedding and spectrum

- The model is defined by the following gauge embedding

$$\begin{aligned}
 V &= \left( \frac{1}{3}, -\frac{1}{2}, -\frac{1}{2}, 0^5, \frac{1}{2}, -\frac{1}{6}, -\frac{1^5}{2}, \frac{1}{2} \right), \\
 A_5 &= \left( -\frac{1}{2}, -\frac{1}{2}, 0, \frac{1}{2}, \frac{1}{2}, 0, 0, 0, \frac{15}{4}, -\frac{19}{4}, -\frac{15^4}{4}, -\frac{11}{4}, \frac{19}{4} \right), \\
 A_3 &= A_4 = \left( \frac{1}{6}, \frac{1}{6}, -\frac{1}{2}, \frac{1^5}{6}, \frac{5}{3}, -\frac{2}{3}, -\frac{5^4}{3}, -\frac{1}{3}, \frac{8}{3} \right).
 \end{aligned}$$

- This leads to the 4d gauge group  $SU(3) \times SU(2) \times SU(6) \times U(1)^8$ . The non-abelian charges of the massless spectrum are:

irrep.	(1, 1, 1)	(1, 2, 1)	(3, 1, 1)	( $\bar{3}$ , 1, 1)	(1, 1, 6)	(1, 1, $\bar{6}$ )	(3, 2, 1)	( $\bar{3}$ , 2, 1)
mult.	114	19	22	16	7	7	1	4

[Lebedev, Nilles, Raby, Ramos-Sánchez, Ratz, Vaudrevange and Wingerter,06]

- The anomaly polynomial can be written as

$$I = F_1(\text{tr } F_{su(6)}^2 + \text{tr } F_{su(3)}^2 + \text{tr } F_{su(2)}^2 + \text{tr } R^2 + a_{ij} F_i F_j)$$

From this we can see that a **single universal axion** cancels the anomaly.

# Smooth Calabi-Yau 3-fold *blow-up*

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# Resolution of $T^6/\mathbb{Z}_{6II}$

- The  $\mathbb{C}^n/\mathbb{Z}_N$  singularities and their resolutions can be described by toric geometry or equivalent by the abelian gauge linear sigma model construction [Groot Nibbelink, 11] [Blaszczyk, Groot Nibbelink, Ruehle, 11].
- The toric variety is given by  $X_\Sigma = (\mathbb{C}^n - Z(\Sigma))/G$  with exclusion set  $Z(\Sigma)$  to ensure proper  $G$ -orbits,  $G = \ker\phi$  with

$$\phi_n : (\mathbb{C}^*)^n \rightarrow (\mathbb{C}^*)^k, \quad (t_1, \dots, t_n) \rightarrow \left( \prod_{j=1}^n t_j^{v_{j1}}, \dots, \prod_{j=1}^n t_j^{v_{jk}} \right).$$

- The vectors  $v_i$  represent ordinary divisors  $D_i = \{z_i = 0\}$ .
- For singular varieties the  $v_i$  do not generate a lattice  $\mathbb{Z}^k$ .
- The resolution is achieved by subdividing the diagram with vectors  $v_{E_r}$  corresponding to new coordinates  $y_r$  such that exceptional divisors appear as  $E_r = \{y_r = 0\}$ . Note that for a CY resolution all the  $v_{E_r}$  lie on a hyperplane.

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- The toric variety is given by  $X_\Sigma = (\mathbb{C}^n - Z(\Sigma))/G$  with exclusion set  $Z(\Sigma)$  to ensure proper  $G$ -orbits,  $G = \ker\phi$  with

$$\phi_n : (\mathbb{C}^*)^n \rightarrow (\mathbb{C}^*)^k, \quad (t_1, \dots, t_n) \rightarrow \left( \prod_{j=1}^n t_j^{v_{j1}}, \dots, \prod_{j=1}^n t_j^{v_{jk}} \right).$$

- The vectors  $v_i$  represent ordinary divisors  $D_i = \{z_i = 0\}$ .
- For singular varieties the  $v_i$  do not generate a lattice  $\mathbb{Z}^k$ .
- The resolution is achieved by subdividing the diagram with vectors  $v_{E_r}$  corresponding to new coordinates  $y_r$  such that exceptional divisors appear as  $E_r = \{y_r = 0\}$ . Note that for a CY resolution all the  $v_{E_r}$  lie on a hyperplane.

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# Resolution of $T^6/\mathbb{Z}_{6II}$

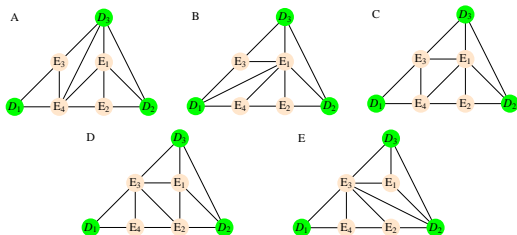
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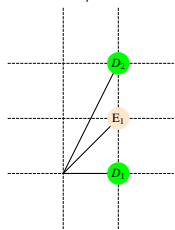
# Resolution of $T^6/\mathbb{Z}_{6//}$

The toric data of the local resolutions of the  $\mathbb{C}^3/\mathbb{Z}_{6//}$  can be depicted by

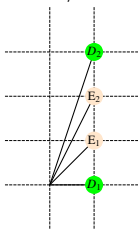


Resolutions of codimension 2 singularities:

$\mathbb{C}^2/\mathbb{Z}_2$



$\mathbb{C}^2/\mathbb{Z}_3$



On the global  $T^6/\mathbb{Z}_{6//}$  resolution

[Lüst, Reffert, Scheideger and Stieberger, 06] the triple intersections of exceptional divisors give:

$$E_{1,\beta\gamma}^3 = 6, E_{2,1\beta}^3 = 8, E_{3,1\gamma}^3 = 8,$$

$$E_{4,1\beta}^3 = 8, E_{1,\beta\gamma} E_{2,1\beta}^2 = -2,$$

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Orbifold + vevs  $\leftrightarrow$  CY 3-fold

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## Identifying the blow-up modes

The internal abelian flux is given by  $\mathcal{F} = H_I V_r^I E_r$ , it lies on the  $E_8 \times E_8$  Cartan subalgebra  $\{H_I\}$ . Each  $V_r$  corresponds to the  $P_{sh}$  of an orbifold twisted state

$$\Phi_{k,\sigma}^{\text{bu-mode}}: \boxed{V_r \equiv P_{sh}}$$

The 16d vectors  $V_r$  obey the Bianchi identities (BI)  $\int_S (\text{tr } \mathcal{R}^2 - \text{tr } \mathcal{F}^2) = 0$ , with  $S$  a compact divisor. The BI read

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We find a solution which leaves the non-Abelian orbifold gauge group intact due to  $\alpha_i \cdot V_r = 0$ . The spectrum is determined using the index theorem

$$\hat{N} = \frac{1}{6} \int_{\mathcal{M}} \left( \mathcal{F}^3 - \frac{1}{4} \text{tr } \mathcal{R}^2 \mathcal{F} \right) = \sum_{\beta\gamma} \hat{N}(\widehat{\mathbb{C}^3/\mathbb{Z}_{6II}})|_{1\beta\gamma}$$

which give the following multiplicities for the 4d fermions

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mult.	40	9	8	2	4	4	0	3

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In order to match the spectrum in  $T^6/\mathbb{Z}_{6II}$  with the spectrum in  $\mathcal{M}$  it is crucial to find the right field redefinitions. Consider the twisted fields  $\Phi_\gamma^{\text{orb}}$  and  $\Phi_i^{\text{bu-mode}}$  with constructing elements given by fields  $g = (\theta^k, n_\alpha e_\alpha)$  and  $g_i = (\theta^{k_i}, m_\alpha^i e_\alpha)$  respectively. A generic field redefinition of the state  $\gamma$  involving the mentioned blow-up modes is

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An example is the orbifold-resolution map for the **(3, 2, 1)** representation

Multip.	Blow-up state	Redefinition	irrep.
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0	$\Phi_{13}^{III}$	$\psi_{93} \rightarrow \Phi_{13}^{III}, \psi_{173} \rightarrow \tilde{\Phi}_{13}^{III}$	<b>(3, 2, 1)</b>

The field redefinitions performed are

$$\Phi_{20}^I = \psi_{189} (\Phi_{412}^{\text{bu-mode}})^{-1},$$

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$$\Phi_{11}^I = \psi_{48} (\Phi_{113}^{\text{bu-mode}})^{-1}, \quad \Phi_{11}^I = \psi_{60} (\Phi_{111}^{\text{bu-mode}})^{-1},$$

$$\Phi_{13}^{III} = \psi_{93} \Phi_{112}^{\text{bu-mode}} \Phi_{122}^{\text{bu-mode}} \Phi_{231}^{\text{bu-mode}}, \quad \Phi_{13}^{III} = \psi_{173} (\Phi_{112}^{\text{bu-mode}})^{-1} (\Phi_{124}^{\text{bu-mode}})^{-1} (\Phi_{231}^{\text{bu-mode}})^{-1}.$$

## Matching the spectrum

In order to match the spectrum in  $T^6/\mathbb{Z}_{6II}$  with the spectrum in  $\mathcal{M}$  it is crucial to find the right field redefinitions. Consider the twisted fields  $\Phi_\gamma^{\text{orb}}$  and  $\Phi_i^{\text{bu-mode}}$  with constructing elements given by fields  $g = (\theta^k, n_\alpha e_\alpha)$  and  $g_i = (\theta^{k_i}, m_\alpha^i e_\alpha)$  respectively. A generic **field redefinition of the state  $\gamma$**  involving the mentioned blow-up modes is

$$\Phi_\gamma^{\text{bu}} = \Phi_\gamma^{\text{orb}} \prod_i (\Phi_i^{\text{bu-mode}})^{-r_i^\gamma}$$

The momentum of the state  $\gamma$  in blow-up  $P_{\text{bu}}^\gamma$  is given by  $P_{\text{bu}}^\gamma = p - \sum_i r_i^\gamma p_i + \delta$ , with  $\delta = (k - \sum_i r_i^\gamma k_i) V + (n_\alpha - \sum_i r_i^\gamma m_\alpha^i) A_\alpha$ . Such that  $\delta \in \Gamma_8 \times \Gamma_8$ .

An example is the orbifold-resolution map for the **(3, 2, 1)** representation

Multip.	Blow-up state	Redefinition	irrep.
-2	$\Phi_{11}^I$	$(\psi_{48}, \psi_{60}) \rightarrow \Phi_{11}^I$	<b>(3, 2, 1)</b>
-1	$\Phi_{20}^I$	$\psi_{189} \rightarrow \Phi_{20}^I$	<b>(3, 2, 1)</b>
0	$\Phi_{13}^{III}$	$\psi_{93} \rightarrow \Phi_{13}^{III}, \psi_{173} \rightarrow \tilde{\Phi}_{13}^{III}$	<b>(3, 2, 1)</b>

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$$\Phi_{20}^I = \psi_{189} (\Phi_{412}^{\text{bu-mode}})^{-1},$$

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## Matching the spectrum

The  $(\mathbf{3}, \mathbf{1}, \mathbf{1})$  and  $(\bar{\mathbf{3}}, \mathbf{1}, \mathbf{1})$  acquire masses due to Yukawa couplings with blow-up modes. Look for example at the mass terms

$$\begin{pmatrix} \psi_6 \\ \psi_{112} \\ \psi_{92} \\ \psi_{116} \\ \psi_{105} \end{pmatrix}^T \begin{pmatrix} 0 & 0 & a_1 \langle \psi_{126} \rangle & a_2 \langle \psi_{134} \rangle & a_3 \langle \psi_{150} \rangle & a_4 \langle \psi_{153} \rangle \\ 0 & 0 & a_5 \langle \psi_{70} \rangle & a_5 \langle \psi_{77} \rangle & a_6 \langle \psi_{70} \rangle & a_6 \langle \psi_{77} \rangle \\ 0 & 0 & a_7 \langle \psi_{70} \rangle & a_7 \langle \psi_{77} \rangle & a_8 \langle \psi_{70} \rangle & a_8 \langle \psi_{77} \rangle \\ e_1 \langle \psi_{154} \rangle & e_1 \langle \psi_{155} \rangle & e_2 \langle \psi_{15} \rangle & e_2 \langle \psi_{22} \rangle & e_1 \langle \psi_{15} \rangle & e_1 \langle \psi_{22} \rangle \\ e_3 \langle \psi_{154} \rangle & e_3 \langle \psi_{155} \rangle & e_4 \langle \psi_{15} \rangle & e_4 \langle \psi_{22} \rangle & e_3 \langle \psi_{15} \rangle & e_3 \langle \psi_{22} \rangle \end{pmatrix} \begin{pmatrix} \psi_{30} \\ \psi_{36} \\ \psi_{125} \\ \psi_{133} \\ \psi_{149} \\ \psi_{152} \end{pmatrix}.$$

The field redefinitions define the map  $\psi_6, \dots, \psi_{105} \rightarrow \Phi_4^I$  and  $\psi_{30}, \dots, \psi_{152} \rightarrow \bar{\Phi}_4^I$ .

Every pair of fields forming a mass term from the orbifold superpotential Yukawa couplings are redefined to conjugated pairs in blow-up.

With a similar procedure we have matched the complete massless spectrum, containing the  $(\mathbf{1}, \mathbf{2}, \mathbf{1})$ ,  $(\mathbf{1}, \mathbf{1}, \mathbf{6})$ ,  $(\mathbf{1}, \mathbf{1}, \bar{\mathbf{6}})$  and  $(\mathbf{1}, \mathbf{1}, \mathbf{1})$  irreps.

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## Matching the anomalies

4d anomalous  $U(1)$ s in CY 3-fold with  $U(1)$  bundles were studied in

[Blumenhagen, Honecker, Weigand, 05] [Groot Nibbelink, Nilles, Trapletti, 07].

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The 4d anomaly polynomial in the resolution  $I^{\text{bu}}$  coincides with  $I^{\text{orb}} + I^{\text{red}}$  such that

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This anomaly is canceled via counter-terms involving the blow-up modes  $\Phi_r^{\text{bu-mode}} \sim e^{i\tau_r}$  as

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$$F^{\text{orb}} X_4^{\text{orb}} + \sum_a q_l^a F^l X_{4,a}^{\text{red}} = X_2^{\text{uni}} X_4^{\text{uni}} + \sum_r X_2^r X_4^r.$$

This anomaly is canceled via counter-terms involving the blow-up modes  $\Phi_r^{\text{bu-mode}} \sim e^{i\tau_r}$  as

$$a^{\text{orb}} X_4^{\text{orb}} + \sum_r \tau_r X_{4,r}^{\text{red}} = a^{\text{uni}} X_4^{\text{uni}} + \sum_r \beta_r X_4^r.$$

Resolution axions are identified with blow-up modes as

$$\beta_r \sim \tau_r, \quad a^{\text{uni}} = -6a^{\text{orb}} + \sum_r c_r \tau_r.$$

## Matching the anomalies

4d anomalous  $U(1)$ s in CY 3-fold with  $U(1)$  bundles were studied in

[Blumenhagen, Honecker, Weigand, 05] [Groot Nibbelink, Nilles, Trapletti, 07].

In our case the anomalies in the deformed Calabi-Yau 3-fold compactification are the pure  $U(1)$ ,  $U(1) \times SU(6)^2$ ,  $U(1) \times SU(3)^2$ ,  $U(1) \times SU(2)^2$  and  $U(1) \times \text{grav}^2$  anomalies:

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# Conclusions

We established the correspondence between heterotic string on a deformed  $T^6/\mathbb{Z}_{611}$  orbifold in the mini-landscape with an heterotic compactification in a smooth CY 3-fold.

- Twisted fields which gain vevs to ensure a **D-flat vacuum** are identified with blow-up modes.
- The **massless spectrum is matched** using field redefinitions, after taking into account the orbifold mass terms.
- The **anomaly cancellation mechanism** in 4d is **understood in both approaches**. The blow-up modes transmute in the **non-universal axions** on the resolution.

## Outlook:

- Explore the implications of recently studied orbifold selection rules to the possible orbifold-resolution maps.
- Constructing an algebraic description of global CY with gauge bundles.
- Study the deformations of the (0, 2) orbifold SCFT by vevs of blow-up modes.
- Exploring the phenomenological consequences in more realistic models with non-anomalous  $U(1)$ s.

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Thank you

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