

BSM searches in $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$ and rare decays at BaBar

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On behalf of
the *BABAR* collaboration

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Outline

- Introduction
- Charged Higgs searches:
 - $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$ [PRL109,101802 (2012) & arXiv:1303.0571 (sub. to PRD)]
 - $B^+ \rightarrow \tau^+ \nu_\tau$ [PRD 88, 031102(R) (2013)]
- Search for $B \rightarrow K^{(*)} \nu \bar{\nu}$ and invisible quarkonium decays [PRD 87, 112005 (2013)]
- Summary and conclusions

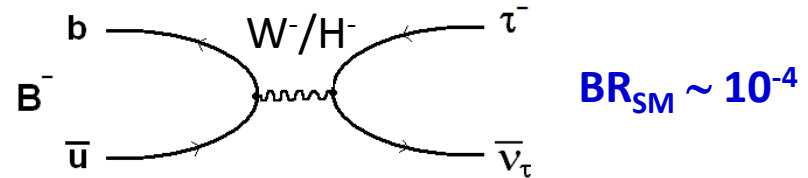
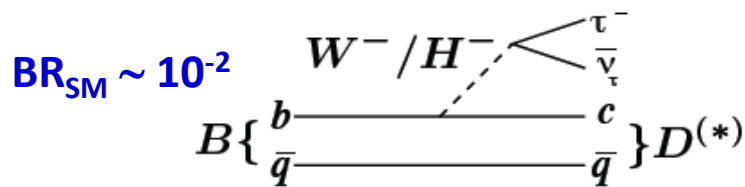
Introduction

Searching for symptomatic anomalies revealing New Physics signs:
Measurements of branching fractions and kinematic observables

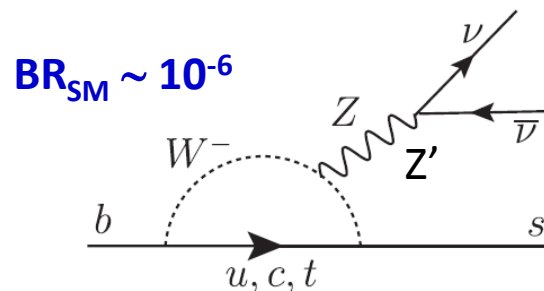
- Have to have small theoretical uncertainties
- Have to be experimentally accessible

Ex:

- Leptonic and semileptonic decays of B hadrons into τ leptons are sensitive to charged Higgs effects (H^\pm coupling $\propto m_\ell$)

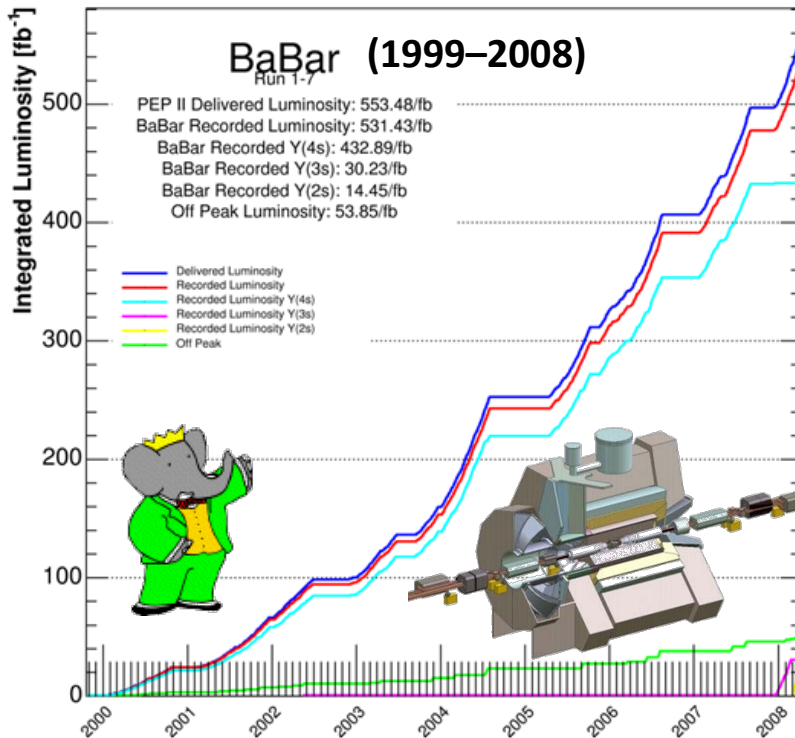


- $b \rightarrow s$ are FCNC processes, sensitive to many New Physics scenarios

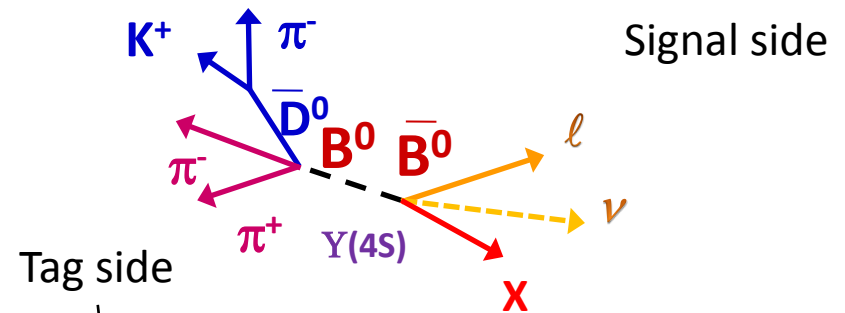


BaBar at PEP II

Asymmetric e^+e^- collider working (mainly) at the $Y(4S)$ energy (10.54 GeV):
 → 426 fb^{-1} from 1999 to 2008 ~470M $B\bar{B}$ pairs



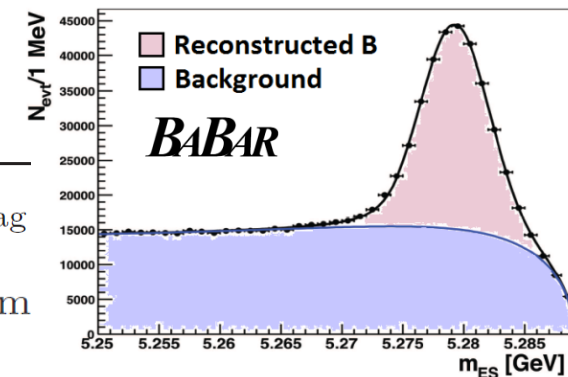
Very good tracking performance, calorimetry and detector hermeticity
 → allow full decay reconstruction and missing energy determination



Kinematic variables:

$$m_{ES} = \sqrt{E_{\text{beam}}^2 - p_{\text{tag}}^2}$$

$$\Delta E = E_{\text{tag}} - E_{\text{beam}}$$



$$\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$$

- Sensitive to charged-Higgs effects

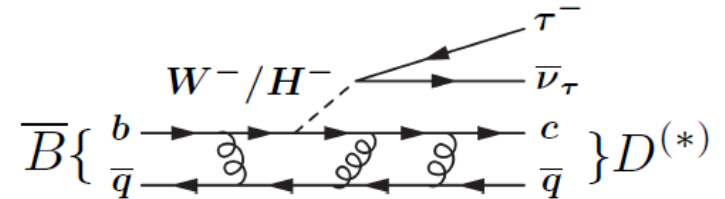
- Depends on some form factors which can be measured in $B \rightarrow D^{(*)} e/\mu \nu$ decays

- Observables: $R(D)$ and $R(D^*)$ ratios

$$\mathcal{R}(D) = \frac{\mathcal{B}(\bar{B} \rightarrow D \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D \ell^- \bar{\nu}_\ell)} \quad \mathcal{R}(D^*) = \frac{\mathcal{B}(\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^* \ell^- \bar{\nu}_\ell)}$$

- can be enhanced by the charged-Higgs ($\tan\beta/m_{H^\pm}$)

- several systematic and theoretical uncertainties cancel out



SM predictions:

$$\mathcal{R}(D)_{\text{SM}} = 0.297 \pm 0.017$$

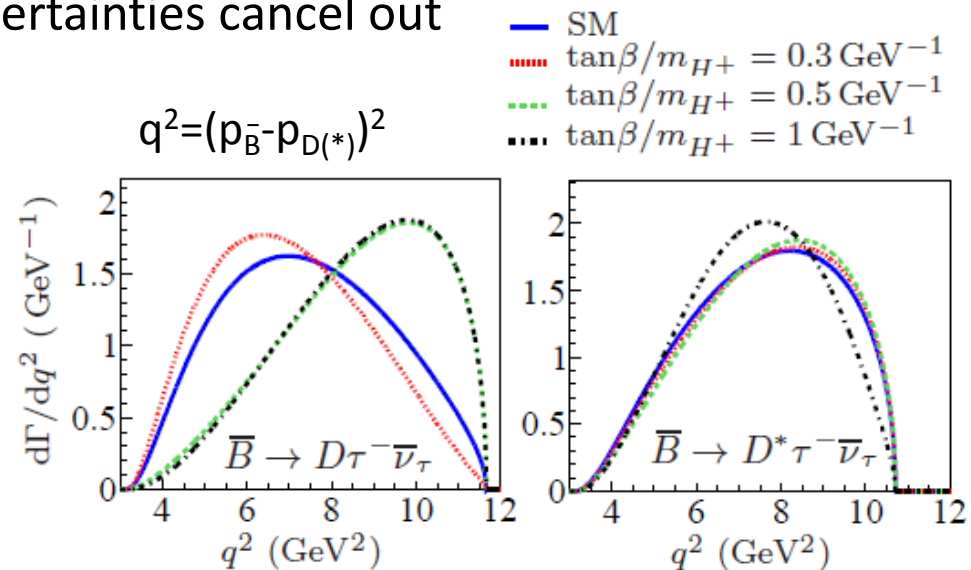
$$\mathcal{R}(D^*)_{\text{SM}} = 0.252 \pm 0.003$$

Based on HQET form factors:

[Tanaka, Watanabe: PRD82, 034027 (2010)];

[Fajfer, Kamenic, Nišandžić: PRD85, 094025 (2012)]

and experimental measurements (HFAG)

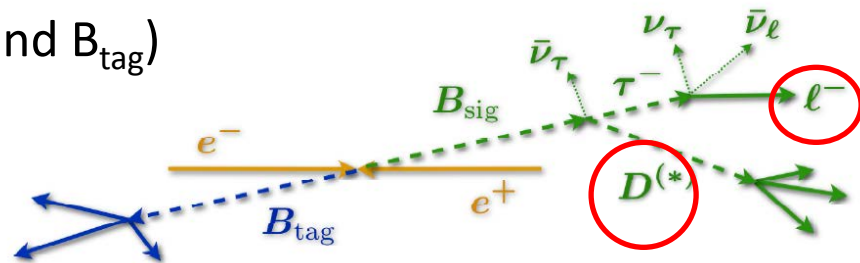


$$\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$$

[PRL109,101802 (2012) &
arXiv:1303.0571 (sub. to PRD)]

$$\begin{aligned} \tau &\rightarrow \mu \nu_\mu \bar{\nu}_\tau \\ \tau &\rightarrow e \nu_e \bar{\nu}_\tau \end{aligned}$$

- Complete BaBar data sample
- Improved efficiencies (ℓ identification and B_{tag})
- B_{tag} fully reconstructed into hadrons
- B_{sig} : $D^{(*)}$ and lepton (μ^- , e^-)



- 4 signal samples: $(D^0, D^+, D^{*0}, D^{*+}) \ell^- \bar{\nu}_\ell$
(to extract $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$)
- 4 control samples: $(D^0, D^+, D^{*0}, D^{*+}) \pi^0 \ell^- \bar{\nu}_\ell$
(to derive $D^{**} \ell^- \bar{\nu}_\ell$ bkg)

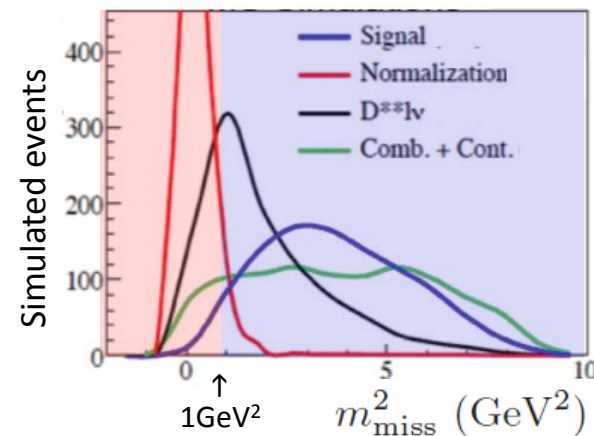
$$m_{\text{miss}}^2 = (p_{e^+e^-} - p_{B_{\text{tag}}} - p_{D^{(*)}} - p_{\ell^-})^2$$

- 2D unbinned ML fit $m_{\text{miss}}^2 - p_\ell^*$ (3x4 parameters)
Yields for:

$$\bar{B} \rightarrow (D^0, D^+, D^{*0}, D^{*+}) \tau^- \bar{\nu}_\tau \quad (\text{signal})$$

$$\bar{B} \rightarrow (D^0, D^+, D^{*0}, D^{*+}) \ell^- \bar{\nu}_\ell \quad (\text{normalization})$$

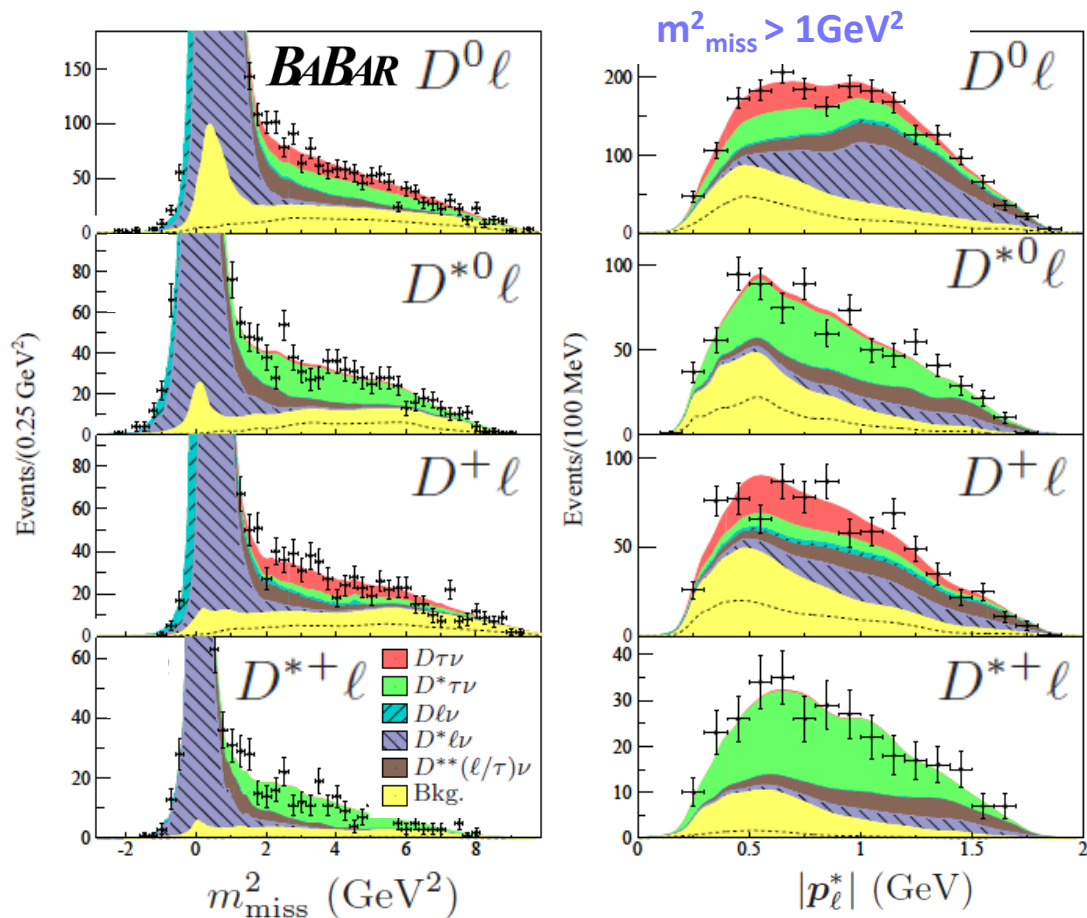
$$\bar{B} \rightarrow (D^0, D^+, D^{*0}, D^{*+}) \pi^0 \ell^- \bar{\nu}_\ell \quad (\text{bkg. control})$$



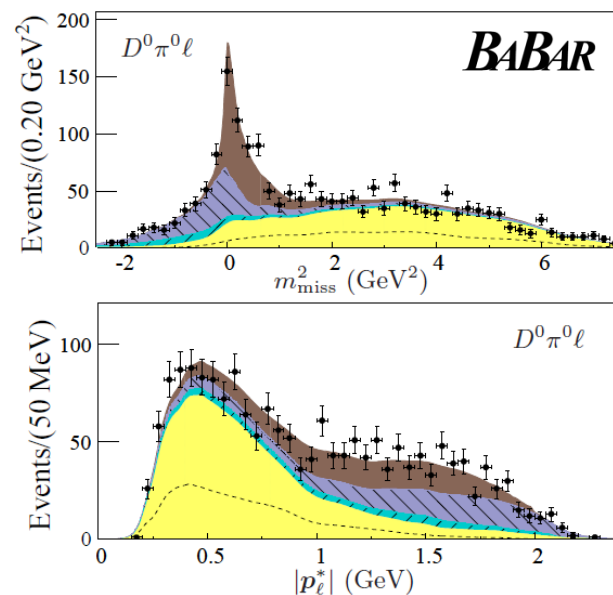
→ **R(D) and R(D*)**

- PDF's from simulation; background corrections from data.

$$\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$$



- Main systematics related to bkg (D^{**} fitted in the $\bar{B} \rightarrow D^{(*)} \pi^0 \ell^- \bar{\nu}_\ell$ sample)



- Results (isospin constrained):

Correlation between : $R(D)$ and $R(D^*) = -0.27$

Decay	N_{sig}	N_{norm}	$\mathcal{R}(D^{(*)})$	Σ_{stat}
$\bar{B} \rightarrow D \tau^- \bar{\nu}_\tau$	489 ± 63	2981 ± 65	$0.440 \pm 0.058 \pm 0.042$	8.4
$\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau$	888 ± 63	11953 ± 122	$0.332 \pm 0.024 \pm 0.018$	16.4

→ Large significance



- Comparison with SM:

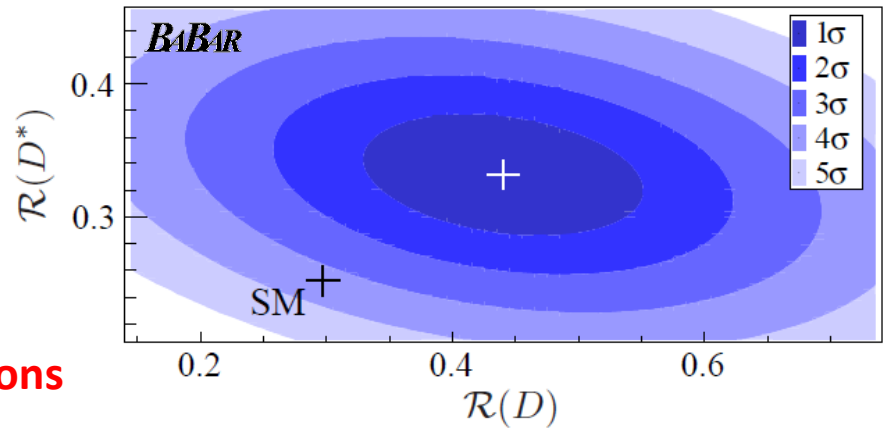
$$R(D) = 0.440 \pm 0.072$$

$$R(D)_{SM} = 0.297 \pm 0.017 \quad (2.0\sigma)$$

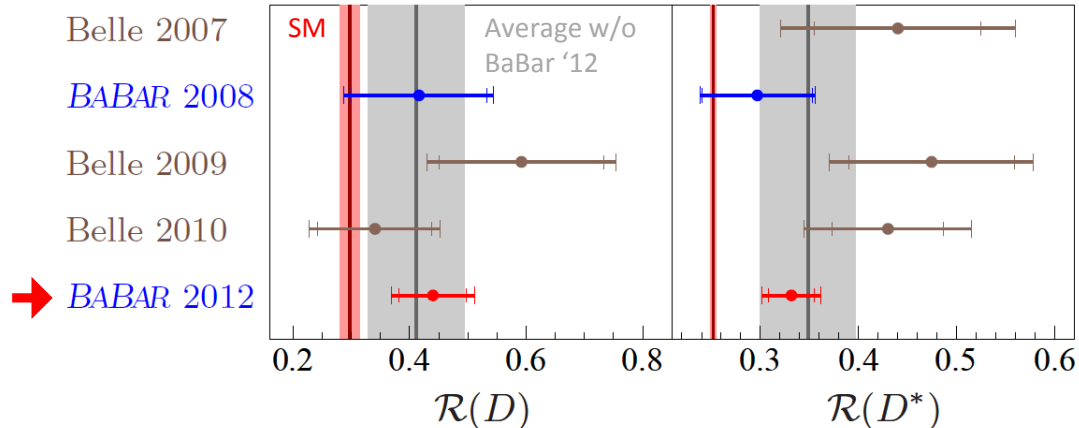
$$R(D^*) = 0.332 \pm 0.017$$

$$R(D^*)_{SM} = 0.252 \pm 0.003 \quad (2.7\sigma)$$

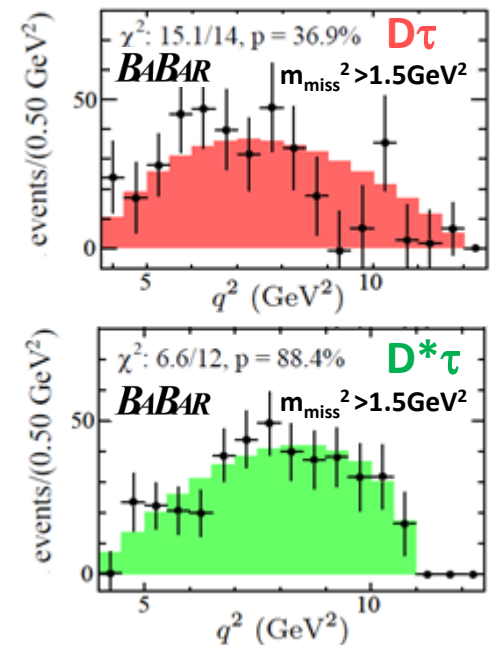
Combined: 3.4 σ deviation from SM predictions



- Results in agreement with other measurements:



$\bar{B} \rightarrow D \tau^- \bar{\nu}_\tau$ and $\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau$ q^2 spectra (efficiency corrected) in agreement with SM within uncertainties



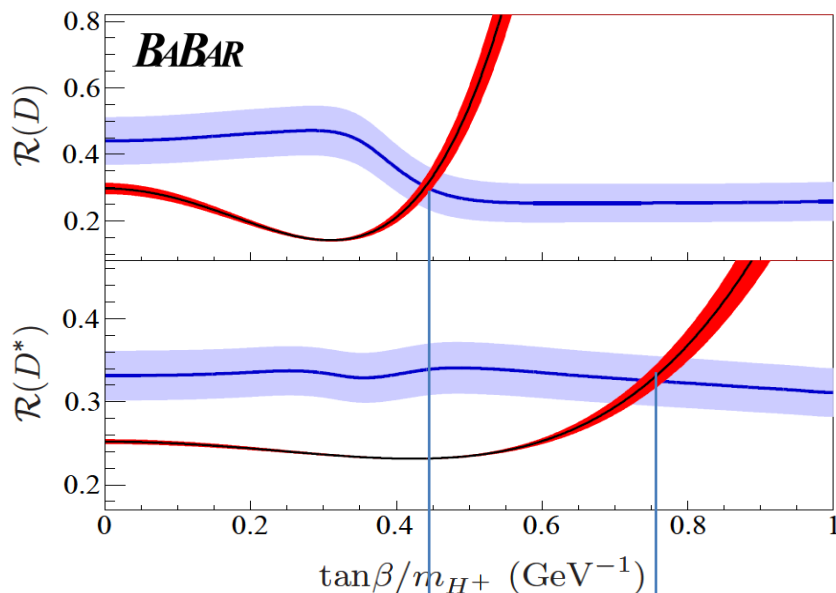


- Comparison with other models: → MSSM at tree level:

The scalar helicity amplitude in the *Two-Higgs Doublet Model (2HDM)*:

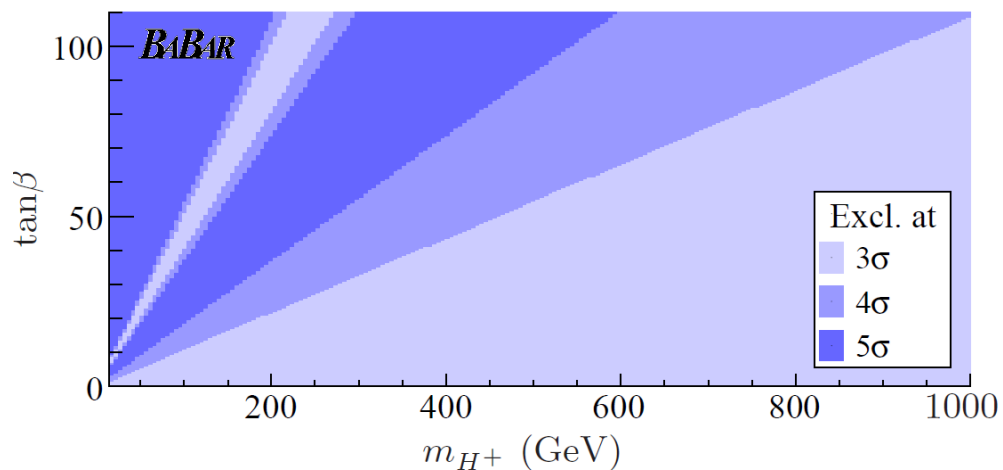
$$H_s^{2\text{HDM}} \approx H_s^{\text{SM}} \times \left(1 - \frac{\tan^2 \beta}{m_{H^\pm}^2} \frac{q^2}{1 \mp m_c/m_b} \right)$$

Type II 2HDM → $\mathcal{R}(D^{(*)})_{2\text{HDM}} = \mathcal{R}(D^{(*)})_{\text{SM}} + A_{D^{(*)}} \frac{\tan^2 \beta}{m_{H^+}^2} + B_{D^{(*)}} \frac{\tan^4 \beta}{m_{H^+}^4}$ (Neglecting H^\pm contributions in $B \rightarrow D^* \ell \nu$)



$$\tan\beta/m_{H^\pm} = 0.44 \pm 0.02 \text{ GeV}^{-1}$$

$$\tan\beta/m_{H^\pm} = 0.75 \pm 0.04 \text{ GeV}^{-1}$$



Together with $B \rightarrow X_s \gamma$ constraints, 2HDM excluded in the full $\tan\beta$ - m_{H^\pm} parameter space

Results are not compatible with a H^\pm in the type II 2HDM

$\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$

- More general model: Type III 2HDM

Scalar amplitude : $|H_s(S_R \pm S_L; q^2)| \propto |1 + (S_R \pm S_L) \times F(q^2)|$

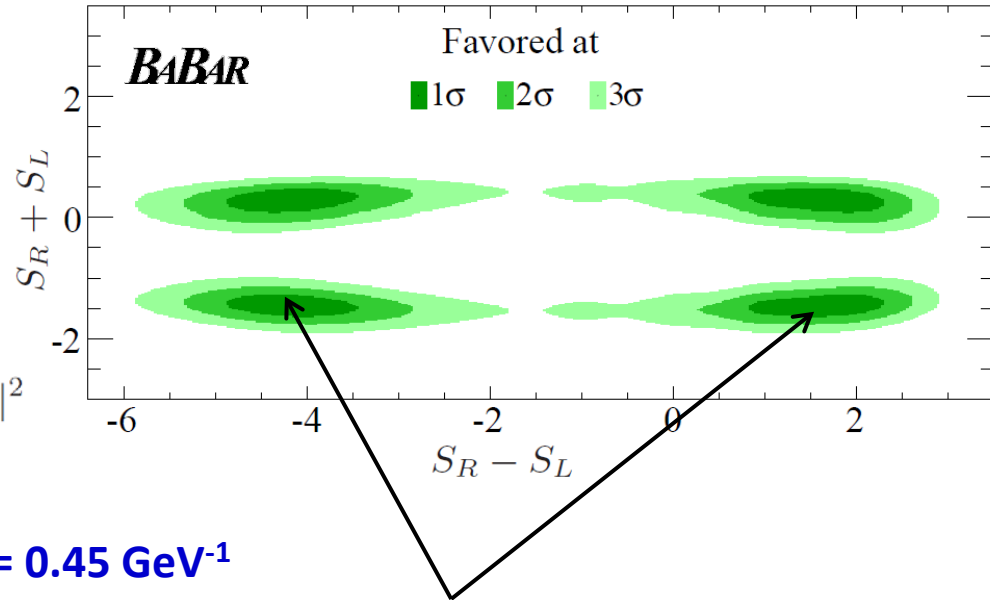
with S_L, S_R complex parameters and

$$H_s(S_R \pm S_L) \approx H_s(-S_R \mp S_L)$$

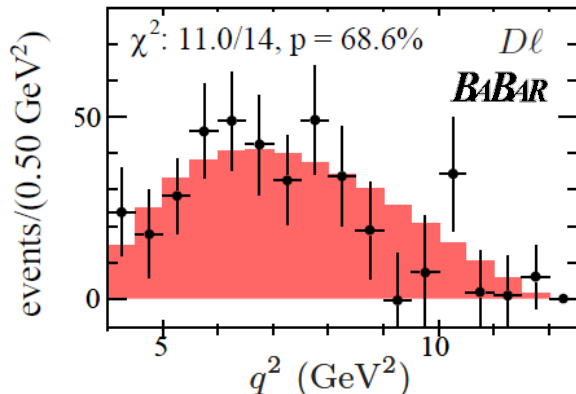
The $R(D)$ and $R(D^*)$ ratios:

$$\mathcal{R}(D) = \mathcal{R}(D)_{\text{SM}} + A'_D \text{Re}(S_R + S_L) + B'_D |S_R + S_L|^2$$

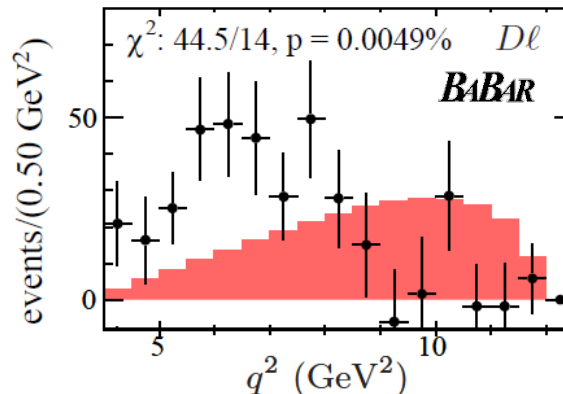
$$\mathcal{R}(D^*) = \mathcal{R}(D^*)_{\text{SM}} + A'_{D^*} \text{Re}(S_R - S_L) + B'_{D^*} |S_R - S_L|^2$$



$\tan\beta/m_{H^\pm} = 0.30 \text{ GeV}^{-1}$



$\tan\beta/m_{H^\pm} = 0.45 \text{ GeV}^{-1}$



Excluded at 2.9σ by the q^2 spectrum

($\tan\beta/m_{H^\pm} = 0.45 \text{ GeV}^{-1}$
 $\rightarrow S_R + S_L \sim -1.5$)

$B^+ \rightarrow \tau^+ \nu_\tau$

- In the SM:

$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu)_{SM} = \frac{G_F^2 m_B m_\tau^2}{8\pi} \left[1 - \frac{m_\tau^2}{m_B^2} \right]^2 f_B^2 |V_{ub}|^2 \tau_{B^+}$$

BaBar $|V_{ub}|$ excl. $= (0.62 \pm 0.12) \times 10^{-4}$
 $|V_{ub}|$ Incl. $= (1.18 \pm 0.16) \times 10^{-4}$

It can be altered by a charged Higgs in the 2HDM:

$$\mathcal{B}(B^- \rightarrow \tau^- \bar{\nu}_\tau)_{2HDM} = \mathcal{B}_{SM} \times \left(1 - \tan^2 \beta \frac{m_B^2}{m_H^2} \right)^2$$

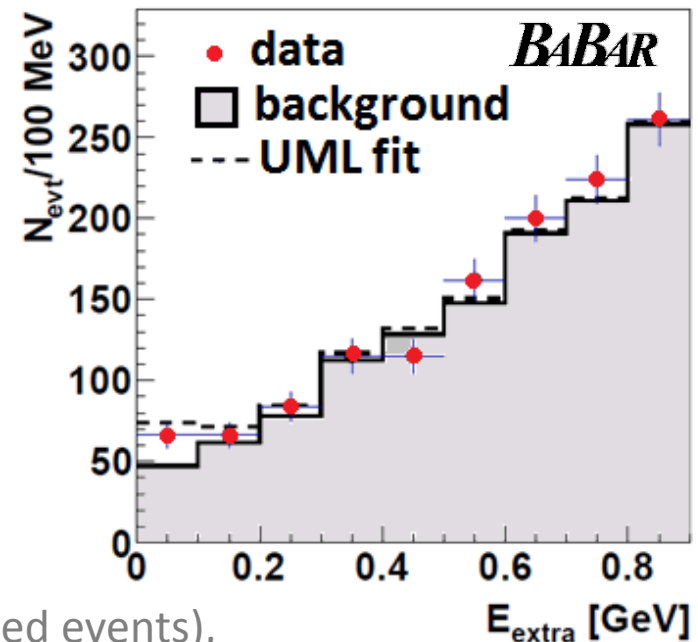
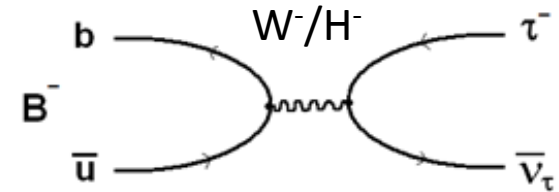
- BaBar analysis: [\[PRD 88, 031102\(R\) \(2013\)\]](#)

- ▶ One B_{tag} fully reconstructed into hadrons ($B^- \rightarrow D^{(*)0} X^-$ and $B^- \rightarrow J/\psi X^-$)

- ▶ **+ 1 signal track** from the τ^+ decay (B_{sig}) ($\tau^+ \rightarrow e^+ \nu \bar{\nu}$, $\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$, $\tau^+ \rightarrow \pi^+ \nu$, $\tau^+ \rightarrow \rho^+ \nu$)

- ▶ Unbinned ML fit to E_{extra} : sum of energies of neutral clusters not associated to B_{tag}

(Signal PDF from MC (data corrected with double tagged events), combinatorial bkg. from data (m_{ES} sideband))



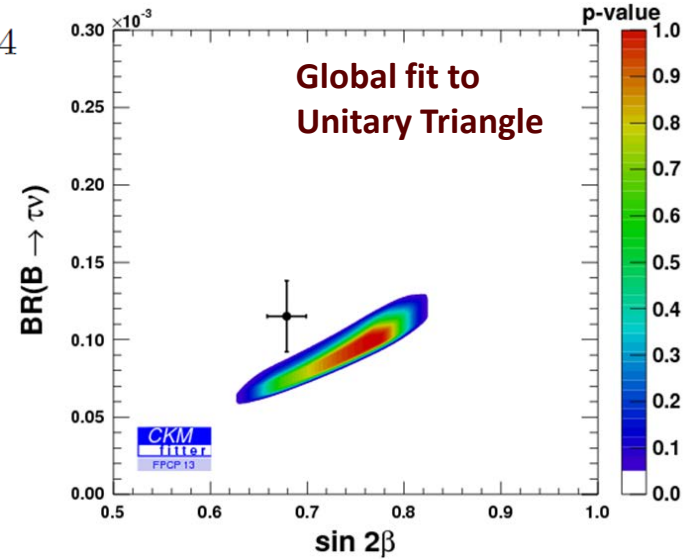
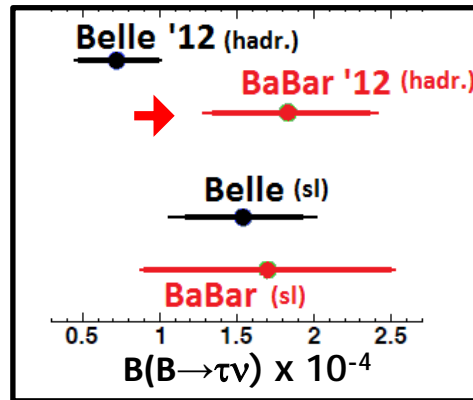
$B^+ \rightarrow \tau^+ \nu_\tau$

- Results:**

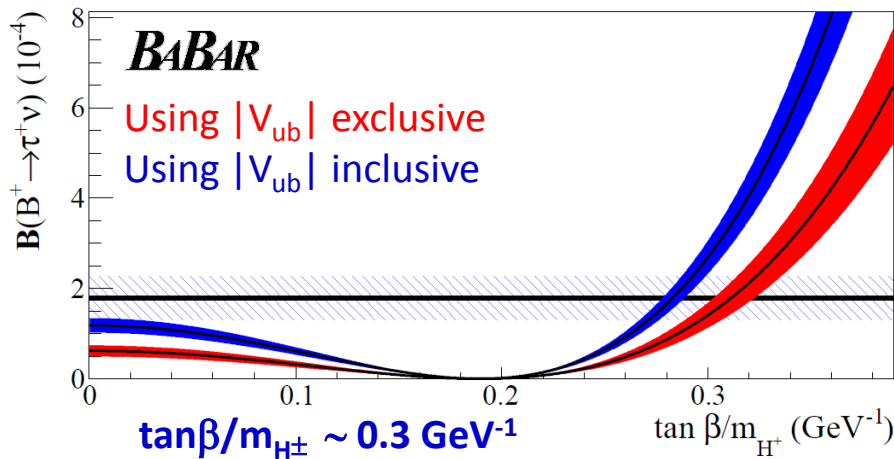
$$\mathcal{B}(B^+ \rightarrow \tau^+ \nu) = (1.83_{-0.49}^{+0.53}(\text{stat.}) \pm 0.24(\text{syst.})) \times 10^{-4}$$

Evidence of signal at 3.8σ

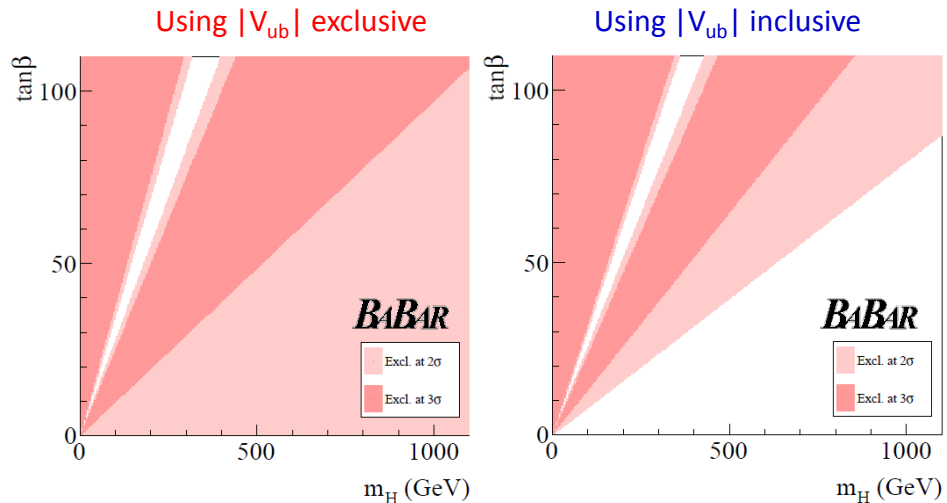
Comparison with other measurements:



- In the 2HDM:**



Exclusion regions:



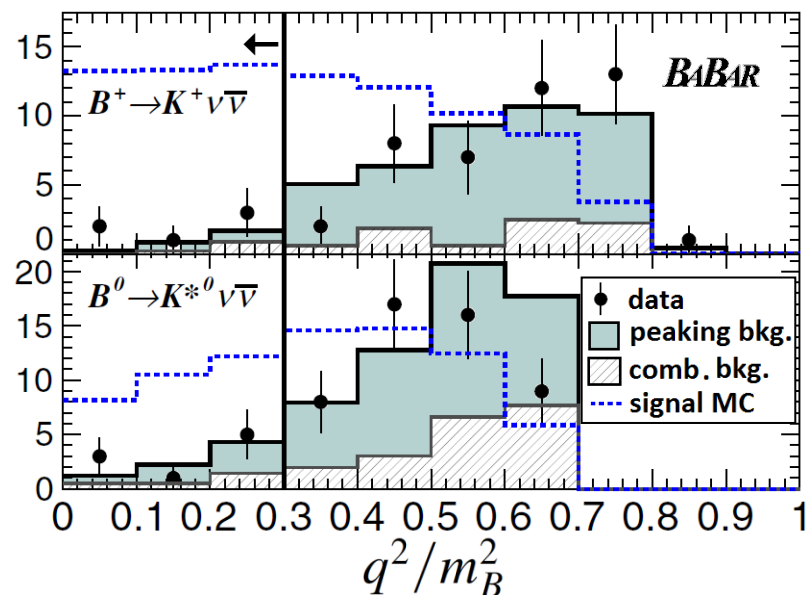
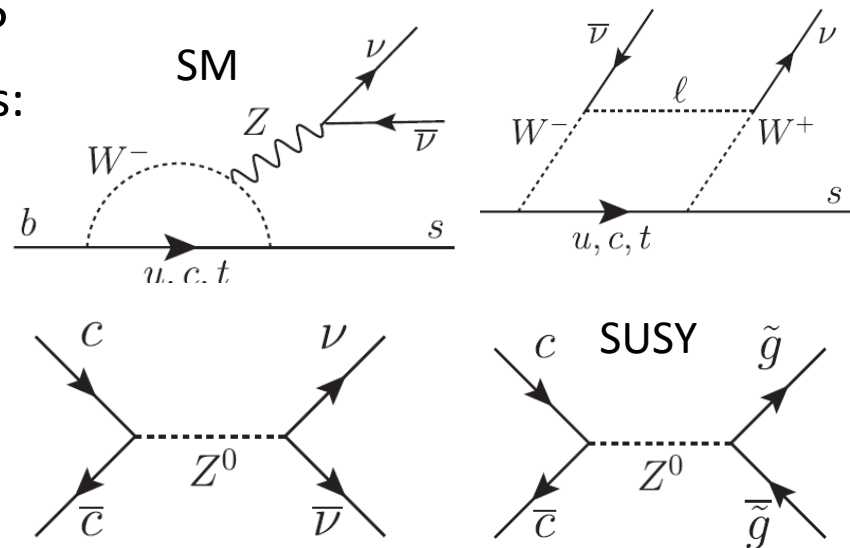
Search for $B \rightarrow K^{(*)} \nu \bar{\nu}$

- $b \rightarrow s \nu \bar{\nu}$ transitions are FCNC sensitive to NP
- Several models predict massive new particles: non-SM $Z^0, Z', 4^{\text{th}}$ generation quarks... :

- $B \rightarrow K^{(*)} \nu \bar{\nu}$ via EW penguins or via resonant $c\bar{c}$ to invisible final states allow to search for BSM physics.

- BaBar analysis: [\[PRD 87, 112005 \(2013\)\]](#)

- ▶ One $B_{\text{(tag)}}$ fully reconstructed into hadrons ($B \rightarrow D_{(s)}^{(*)} X^-$ and $B \rightarrow J/\psi X^-$)
- ▶ One single reconstructed $K^{(*)}$ in the signal side ($c\bar{c} \rightarrow \nu \bar{\nu}$ with J/ψ and $\psi(2S)$)
- ▶ Selection based on E_{extra} and specific kinematic regions to maximize SM sensitivity. (blind analysis, $B \rightarrow D \ell \nu$ data control sample)



Search for $B \rightarrow K^{(*)} \nu \bar{\nu}$

- Results:

No significant signal \rightarrow derived Upper Limits at the 90% CL:

$$\begin{aligned} \mathcal{B}(B \rightarrow K \nu \bar{\nu}) &< 3.2 \times 10^{-5} \\ \mathcal{B}(B \rightarrow K^* \nu \bar{\nu}) &< 7.9 \times 10^{-5} \\ \mathcal{B}(J/\psi \rightarrow \nu \bar{\nu}) &< 3.9 \times 10^{-3} \\ \mathcal{B}(\psi(2S) \rightarrow \nu \bar{\nu}) &< 15.5 \times 10^{-3} \end{aligned}$$

Consistent with SM predictions

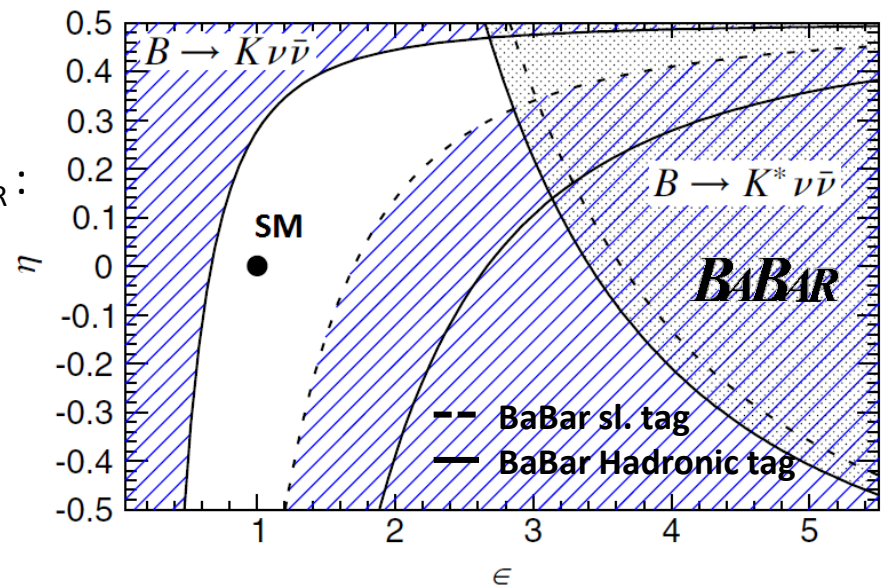
(First lower limit for $B^+ \rightarrow K^+ \nu \bar{\nu}$, and first upper limit for $\psi(2S) \rightarrow \nu \bar{\nu}$)

- Constraints on New Physics :

Right-handed currents,
in terms of the Wilson coefficients $C_{L,R}^{\nu}$:

$$\begin{aligned} \epsilon &\equiv \frac{\sqrt{|C_L^{\nu}|^2 + |C_R^{\nu}|^2}}{|C_{L,SM}^{\nu}|} \\ \eta &\equiv \frac{-\text{Re}(C_L^{\nu} C_R^{\nu*})}{|C_L^{\nu}|^2 + |C_R^{\nu}|^2} \end{aligned}$$

[Altmannshofer, Buras, Straub, Wick: JHEP04,022 (2009)];



Summary and conclusions

- Measurement of $R(D)$ and $R(D^*)$ in $\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau$ decays

$$R(D) = 0.440 \pm 0.072$$

$$R(D^*) = 0.332 \pm 0.017$$

- **3.4 σ tension with the SM predictions**
- **Exclusion of Type II 2HDM**

- Evidence for leptonic $B^+ \rightarrow \tau^+ \nu_\tau$ decays

$$B(B^+ \rightarrow \tau^+ \nu_\tau) = (1.83^{+0.53}_{-0.49} \pm 0.24) \times 10^{-4}$$

- **World average in better agreement with the SM fit**
- **Exclusion regions in $\tan\beta/m_{H^\pm}$ parameter space**

- New limits for $B \rightarrow K^{(*)} \nu \bar{\nu}$

- **In agreement with the SM predictions**
- **New constraints for NP scenarios**

The image features a background of a blue-green sea with gentle waves. A brown, semi-transparent lattice pattern is overlaid on the entire scene, creating a grid of diamond-shaped openings. The text "Thank you!" is centered in the middle of the image.

Thank you!